

UTILITY PATENT
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney
Docket No.

991074B

Total Pages

3

First Named Inventor or Application Identifier

Yoshimi TOCHISHITA, et al

Express Mail Label No.

PAGE 1 OF 3

*Check Box, if applicable [] Duplicate

APPLICATION ELEMENTS FOR:

**SURFACE-TREATING SUPPORT MEMBER
AND METHOD USING THE SAME (As
Amended)**

ADDRESS TO: Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [39]
☒ Drawing(s) (35 USC 113) Total Sheets [8]
☒ Oath or Declaration Total Pages [2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Incorporation by reference (usable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment to **Sumitomo Special Metals Co., Ltd.** in the prior Application Serial No. **09/407,403**, filed on **September 29, 1999** has been recorded at Reel **010287**, Frame **0266** on **September 29, 1999**. **Please publish the assignee data with the application.**
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

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PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)
- a. Priority of **Japanese** Application No. **10-294695** filed on **October 2, 1998**, is claimed under 35 USC 119. The certified copy has been filed in prior application Serial No. **09/407,304**. (For Continuing Applications, if applicable).
16. ☒ Other: **Substitute Abstract of the Disclosure**
17. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. **09/407,304**
- a. ☒ Please amend the specification by inserting after the title: This application is a continuation of prior application Serial No. 09/407,304 filed September 29, 1999.
- b. ☒ Cancel in this application original claims 1-45 of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$710.00
Total Claims	7 - 20	0	x \$18.00	
Independent Claims	4 - 3	1	x \$80.00	\$ 80.00
Multiple Dependent Claims			\$270.00	
Basic Filing Fee				\$ 710.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$ 790.00

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PAGE 3 OF 3

☒ A check in the amount of \$ 790.00 is enclosed to cover the filing fee.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of \$ to cover the filing fee and the \$ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name: **Stephen G. Adrian**

Rg. No.: **32,878**

Signature

Date: **June 19, 2001**

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APPLICATION TRANSMITTAL**

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Rg. No.: **32,878**

Signature

Date: **June 19, 2001**

WMD

10-12-1999



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OFFICE

9-29-99

U.S. PTO
09/407304



Case Docket No. 991074

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Assistant Commissioner for Patents.
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yoshimi TOCHISHITA, Yoshio FUJIWARA, Yoshihiro ASAGAI
and Ken OHTAGAKI

Additional name(s) of conveying party(ies) attached? NO

2. Name and address of receiving party(ies):

Name: SUMITOMO SPECIAL METALS CO., LTD.

Street Address: 4-7-19, Kitahama, Chuo-ku, Osaka-shi,
Osaka, Japan

Additional name(s) & address(es) attached? NO

3. Nature of conveyance: Assignment

09/407304

Execution Date: September 8, 1999

4. Title: SURFACE-TREATING SUPPORT MEMBER, SURFACE-TREATING
HOLDER, SURFACE-TREATING PROCESS, AND SURFACE-
TREATING APPARATUS

10/07/1999 JWSHNG 00000052 09407304

04 FC:581

40.00 DP

5. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 8, 1999

A. Patent Application No.(s) B. Patent No.(s)

Additional numbers attached? NO

6. Name and address of party to whom correspondence concerning document should be mailed:

Name: Armstrong, Westerman, Hattori,
McLeland & Naughton
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006

7. Total number of applications and patents involved: 1

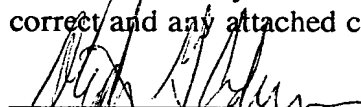
8. Total fee (37 CFR 3.41). \$ **40.00**

XX Check enclosed

9. Deposit Account No.: 01-2340

10. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.



Stephen G. Adrian
Reg. No.: 32,878

Date September 29, 1999

Total number of pages including cover sheet: 3

SGA/yap

106334-051001